

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-08-01  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2012-02-28  
**Date of Last Exhibit 300A Update:** 2012-06-30  
**Date of Last Revision:** 2012-08-01

**Agency:** 005 - Department of Agriculture      **Bureau:** 05 - Departmental Management

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** Financial Management Modernization Initiative (FMMI)

**2. Unique Investment Identifier (Ull):** 005-000001425

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

FMMI represents the USDA OCFO's modernization of the outdated technology underlying the agency's present financial system environment and migration from the current distributed, multi-instance mainframe system to a federally compliant, consolidated, single-instance Web-based system. FMMI will replace the Corporate Financial Management System (CFMS) investment, of which the financial system is the mainframe-based Foundation Financial Information System (FFIS). Through technology consolidation and modernization, FMMI will eliminate the need to operate and maintain certain USDA legacy feeder systems and the data warehouse that FFIS currently requires to produce timely external financial statements as well as the sole burden on USDA to maintain Federal Systems Integration Office (FSIO) compliance, which is no longer supported by the FFIS vendor. In addition to the process efficiencies resulting from technology consolidation and the cost savings associated with shifting the burden to the vendor for compliance maintenance, FMMI will also close performance gaps existing in the status quo, the CFMS investment, by providing on-demand search and reporting capabilities, functionality unavailable in the current mainframe environment but critical to the 14,000 USDA system users (which include agency CFOs and procurement and financial management personnel, etc.), and by providing a system that aligns with business processes, resulting in improved user efficiency. The OCFO began its goal of designing, building, testing, and implementing the FMMI system in FY 2010,

eliminating 6 agencies and 13 staff offices at the USDA. FMMI will enable USDA OCFO to continue to provide sound and efficient financial management in support of the USDA mission to enhance the quality of life for the American people.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

FMMI addresses the need for improved financial performance through a modern financial system that provides maximum support to mission. This is consistent with the USDA's strategic plan management initiatives as it: Provides a single, operational web-based system for all USDA Agencies and Staff Offices and an enterprise-wide view of data; Standardizes business processes; Implements leading practices; and Provides reliable, relevant and timely data for general accounting, funds management and financial reports. Enables single sign-on using USDA's eAuthentication system. The implementation of FMMI results in the specific measurable benefit of overall lower financial systems operating costs for USDA beyond fiscal year 2013. Operations and maintenance (O&M) savings will be significant. The benefits of the FMMI Project align with USDA Strategic Plan Management Initiatives by strengthening management operations and improving customer service by increasing departmental performance and efficiency. The FMMI project has supported the USDA's mission by providing an efficient, effective and secure financial system that has enhanced departmental business capabilities by streamlining business processes and providing enhanced integration, accountability, auditability and internal controls.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

The following list includes FMMI's accomplishments during the PY: All corporate interfaces, including Payroll, Procurement, Travel and Property, are completed and deployed. FMMI is operational in all USDA Department Staff Offices and the Office of the Inspector General (OIG), Foreign Agricultural Service (FAS), Agricultural Research Service (ARS), Economics Research Service (ERS), National Agricultural Statistics Service (NASS), National Institute of Food and Agriculture (NIFA) and Food Safety and Inspection Service (FSIS) and the Administrative Accounting for Farm Service Agency (FSA), Food and Nutrition Services (FNS) and Rural Development (RD). FMMI processed 40% of total accounting transaction volume in the FMMI system upon completion of D2. Over 3,250 users conducting business in FMMI daily upon completion of D2. The data warehouse for financial statement, standard and ad hoc reporting is in production.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

The following list includes FMMI's planned accomplishments for the CY and BY. Deploy FMMI to the Marketing and Regulatory Programs (MRP) and the National Resources Conservation Service (NRCS) staff offices and program agencies. Complete deployment of FSA/Commodity Credit Corporation (CCC), FNS, and RD stand-alone General Ledgers into FMMI.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2008-08-21

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$84.8	\$19.0	\$18.8	\$10.8
DME (Including Planning) Govt. FTEs:	\$7.4	\$4.3	\$4.9	\$5.2
Sub-Total DME (Including Govt. FTE):	\$92.2	\$23.3	\$23.7	\$16.0
O & M Costs:	\$24.7	\$24.6	\$34.6	\$37.1
O & M Govt. FTEs:	\$4.0	\$4.5	\$4.8	\$10.5
Sub-Total O & M Costs (Including Govt. FTE):	\$28.7	\$29.1	\$39.4	\$47.6
Total Cost (Including Govt. FTE):	\$120.9	\$52.4	\$63.1	\$63.6
Total Govt. FTE costs:	\$11.4	\$8.8	\$9.7	\$15.7
# of FTE rep by costs:	90	70	92	92
Total change from prior year final President's Budget (\$)		\$0.2	\$8.5	
Total change from prior year final President's Budget (%)		0.40%	15.60%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

By completing the implementation of FMFI in FY 2011, one year sooner than the FY 2007 President's budget request and our previous notional schedule, USDA will eliminate some agency material weaknesses sooner and allow the sunseting of our current unsupported core financial management system (CFMS) and the associated O&M costs one year sooner. USDA revised the FMFI Acquisition Plan and FMFI Risk Management Plan in 2009 to reflect accelerated implementation of FMFI. USDA continues to routine

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	1205	<a href="#">AG3142C070034</a>									
Awarded	1205	<a href="#">AG3142D100156</a>	GS23F0006V	4730							
Awarded	1205	<a href="#">AG3142K110020</a>	AG3142B100009	1205							
Awarded	1205	<a href="#">AG3142K110021</a>	AG3142B100009	1205							
Awarded	1205	<a href="#">AG3142K110022</a>	AG3142B100009	1205							
Awarded	1205	<a href="#">AG3142K110023</a>	AG3142B100009	1205							

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

The Earn Value Management has been incorporated into the Firm Fixed Priced contract for FMFI that has been awarded to date. For the three T&M contracts supporting FMFI, the vendors are reporting expenses against specific WBS items in the FMFI Integrated Plan such that the contracts impact on the FMFI EVM statistics is directly incorporated. The three T&M contracts will expire as of the end of FY12. If the contracts are extended, they will be modified to explicitly incorporate EVM requirements.

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-08-01

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
2327-10-01	FMMI Deployment 3	Deployment of Marketing and Regulatory Programs (MRP), Natural Resources Conservation Service (NRCS).			
2327-04-01	FMMI Deployment 3 Wave 1	Deployment and Stabilization of Natural Resources Conservation Service (NRCS).			
2317-06-01	FMMI Deployment 3 Wave 2	Testing, Deployment, and Stabilization of Forest Service (FS).			
2317-06-02	FMMI Deployment 3 Wave 2	Testing, Deployment, and Stabilization of Forest Service (FS).			

### Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
2327-10-01	FMMI Deployment 3							
2327-04-01	FMMI Deployment 3							

## Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
	Wave 1							
2317-06-01	FMMI Deployment 3 Wave 2							
2317-06-02	FMMI Deployment 3 Wave 2							

## Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
2327-10-01	Deployment 3 Test		2012-03-11	2012-03-11	2012-02-29	162	11	6.79%
2327-04-01	Deployment 3 Wave 1 - B		2012-04-30	2012-04-30	2012-04-30	28	0	0.00%
2327-04-01	Deployment 3 Stabilization Wave 1		2012-05-31	2012-05-31	2012-05-31	30	0	0.00%
2317-06-02	Deployment 3 Test Wave 2		2012-08-31	2012-08-31		91	0	0.00%

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Accounting: Maintain unqualified financial statement audit opinion	Unqualified Opinion	Mission and Business Results - Support Delivery of Services	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual
Cycle Time: Number of days required to process year-end close of USDA books	Number	Process and Activities - Financial	Under target	47.000000	40.000000	60.000000	47.000000	Semi-Annual
Customer Satisfaction: Overall FMMI Satisfaction	Percentage	Customer Results - Customer Benefit	Over target	95.000000	90.000000	47.000000	95.000000	Semi-Annual
Alerts for System Anomalies for application and Supporting Systems server capacity, server performance, and storage capacity for defined thresholds.	Number	Technology - Information and Data	Over target	1.000000	1.000000	1.000000	1.000000	Quarterly
Help Desk Availability	Percentage	Technology - Quality Assurance	Over target	99.500000	99.500000	99.500000	99.500000	Quarterly
System Availability	Percentage	Technology - Reliability and Availability	Over target	90.000000	95.000000	99.000000	95.000000	Monthly